

APPLICATION

For identification/update of a client's info **(legal entity)**

Date of filling: _____,_____,20_____

1. CLIENT'S GENERAL DATA			
Domestic legal entity		Foreign legal entity	
Full name			
Short name			
Tax ID number		Entity ID number (EIN) / Corporate reg. no.	
Country under law of which the client is registered			
Filled by the domestic legal entity		Filled by the foreign legal entity	
Address		Address in domicile country	
City		City	
Country		Country	
Telephone		Tel. in domicile country	
Fax		Fax in domicile country	
E-mail		E-mail	
Web-site		Web site	
Date of entry in the Register (date of foundation of the legal entity)			
Act no. From the respective Entry Register the legal entity is registered in, and in lack of number of that number indicate the date of issuance of the Act – if such Register exists in the country the legal entity is registered			
Decision issued by specialized institution for issuing approval to perform certain activities or license – exchange operations, leasing, games of chances, insurance, quick money transfer – if special license is required for the business activities of the legal entity in accordance with applicable law regulations			1. Yes (fill in under 1 and 2 below) 2. No (continue from no. 3)
1. Document issuing Authority / Name of the institution			
2. Date of issuance of the resolution/license			
3. Organizational form			
4. Number of employees			
5. Size of the legal entity, according to the Law on trade companies, or other relevant law			
6. Business activity/ field of work of the legal entity		<input type="checkbox"/> Public administration <input type="checkbox"/> Education <input type="checkbox"/> Health care <input type="checkbox"/> Trade <input type="checkbox"/> Financial intermediation and banking <input type="checkbox"/> Industry and construction <input type="checkbox"/> Accounting, IT and telecommunications <input type="checkbox"/> Transportation <input type="checkbox"/> Sport, arts and culture <input type="checkbox"/> Agriculture	<input type="checkbox"/> Lawyers <input type="checkbox"/> Notary <input type="checkbox"/> Certified enforcement agents <input type="checkbox"/> Tourism and hospitality <input type="checkbox"/> Economic and legal consulting <input type="checkbox"/> NGOs <input type="checkbox"/> Association of citizens <input type="checkbox"/> Sole proprietor <input type="checkbox"/> Casinos, sports betting and game of chances <input type="checkbox"/> Other _____
2. OTHER CLIENT'S DATA			
Founders/Owners (Name and surname)			
Personal ID no.		Address	

ID card / passport no.		Issued by	
Founders/Owners (Name and surname)			
Personal ID no.		Address	
ID card / passport no.		Issued by	
Legal representative (name and surname)			
Personal ID no.		Address	
ID card / passport no.		Issued by	
Legal representative (име и презиме)			
Personal ID no.		Address	
ID card / passport no.		Issued by	
Capitally related legal entities with share equal or higher than 20% capital related share of individuals			
Managing relation with other legal entities and individuals with share equal or higher than 20%			

Note:

Based on the specified identification data, the Depository opens securities' accounts and performs its update.

3. CLIENT'S CONSENT

By signing this application, I hereby confirm that:

- The data I have provided is correct;
- If the statutory data changes, I shall inform the subject in a timely manner;
- Should the company's ownership and management structure change, I shall inform the subject on the changes regarding the true owners and shall submit new updated data, in a timely manner;
- As far as I am aware, the persons cited in this application as true owners, have not indulged in any illegal activities whatsoever;
- I agree to have the data from this application registered, processed and updated for the subject's needs;
- I agree to have the data transferred to other organs for the purposes of special investigation under their competence;
- The subject reserves the right to request other data on the clients for the purposes of the established business relation;
- The subject reserves the right to terminate the business relation with the client, at any time;
- I am aware of the terms for establishing a business relation with the subject and I accept them fully.

Date and place

Signature and seal of the authorized person,

_____.20_____

4. STATEMENT REGARDING THE TRUE OWNER OF THE LEGAL ENTITY

I (name and surname) _____ with personal ID no. _____ and residence address _____, as authorized person to open/update the account of the legal entity indicated hereunder, on the position of _____ in the legal entity:

Full name: _____, **Headquarters:**

_____ **Unique tax no.** _____ for the purpose of establishing business relationship between the legal entity and the Depository, hereby under full moral, material and criminal liability, I declare that the true owner/owners (**please see the explanation below) is/are:

Natural persons:

	Name and surname	Personal ID number*	ID card/ passport no.	Address	Politically exposed person (PEP)
1					<input type="checkbox"/> Yes <input type="checkbox"/> No
2					<input type="checkbox"/> Yes <input type="checkbox"/> No
3					<input type="checkbox"/> Yes <input type="checkbox"/> No
4					<input type="checkbox"/> Yes <input type="checkbox"/> No

* It's mandatory to be kept a copy of the identification document in hard copy or electronic form.

**** True owner" of a legal entity is:**

1. a natural person(s) who is beneficial owner of the legal entity or controls the legal entity through direct ownership of sufficient percent of stakes, shares, including holding bearer shares or voting right or other rights in the legal entity;
 2. a natural person(s) who controls the legal entity through indirect ownership of sufficient percent of stakes, shares, including holding bearer shares or voting right or other rights in the legal entity; or
 3. a natural person(s) who otherwise exercises control of the legal entity
- Indicator of direct ownership is the ownership of over 25% of the stakes, voting rights or other rights in the legal entity or the ownership of 25% plus one share. Indicator of indirect ownership is the ownership or control of a natural person(s) over one or more legal entities that individually or together hold over 25% of the stakes or 25% plus one share.

"True owner" of other legal entities (domestic and foreign associations and their federations, foundations, institutions, chambers, unions, political parties, cooperatives, religious communities, or other organizations (for which participation in management based on equity share cannot be determined) is considered any individual who is authorized to represent or a natural person who has a control position in the organization's property management.

"True owner" of a legal arrangement is any natural person who is:

1. founder;
2. trustee;
3. manager/s (if any);
4. beneficiary or group of beneficiaries of the managed property, provided that future beneficiaries are determined or can be determined;
5. individuals who performs the same or similar functions to the ones of points 1,2, 3 and 4; and/or
6. other natural person who through direct or indirect ownership or otherwise controls the legal arrangement.

"True owner" of a sole trader or sole proprietor is a natural person registered to perform certain activity and at the same time is the sole authorized representative. "True owner" of budget users is the manager of the budget user.

Exceptions regarding the identification of the true owner:

This Statement may not be filled out if the client is a legal entity whose securities are listed on any domestic or international stock exchange of countries that meet the international standards for prevention of money laundering and financing terrorism or data on the true owners are transparent and available to the public.

5. STATEMENT REGARDING THE CLIENT'S CAPACITY AS A PUBLIC OFFICIAL*

I _____ with personal ID no. _____ and residence address _____, on the position of _____ in the legal entity/Full name _____, headquarters _____ unique tax no. _____, and EIN _____ hereby I declare under full moral, material and criminal liability that:

am not a public official

am a public official _____ (the public function is specified) or/and am related to a person who is a public official.

Statements given by:

Name and surname

Date

*The statement shall be signed by the person who establishes the business relationship with the Depository, on behalf of the legal entity. Definition for public officials or persons related to them, pursuant to the applicable legislation of Republic of North Macedonia

"Public officials" are natural persons who are or were entrusted with a public function in the Republic of North Macedonia or in another country, such as:

- a) state of government presidents, ministers, deputy or assistant ministers;
- b) elected members of the legislative institution;
- c) judges in supreme or administrative courts, or bearers of high judicial functions, whose functions prevents legal remedies against their decision, except in exceptional cases;
- d) members of managing bodies and supervisory or regulatory bodies and agencies, the state audit institutions or board members of the central bank;
- e) ambassadors;
- f) high-ranking officers in the armed forces (ranks above colonel);
- g) elected and appointed persons according to the law and members of managing and supervisory boards of legal entities, established by the state;
- h) persons with functions in political parties (members of the party's executive bodies);
- i) persons entrusted with a distinguished function in an international organization, such as directors, deputy-directors, members of management and supervisory boards and other equivalent functions, and
- j) city and municipal mayors and presidents of municipal councils.

Public officials under point a) to j) shall be considered as public officials for at least two years after the termination of the public function, based on previously conducted risk assessment by the entities.



The term "public official" also includes the following:

- 1) *Family members of the public official such as:*
 - *spouse or person living with the public official in an extra-marital living community;*
 - *children and their spouses living in an extra-marital living community with the public official's children or parents of the public official.*
- 2) *Person, who is considered to be close associate of the public official, is a natural person:*
 - *Who has a joint legal or true ownership over a legal entity or has entered into agreements or has established other close business relations with the public Official*
 - *Who is the single true owner of a legal entity or a legal venture, whose purpose of establishment was to the benefit of the public official*

Note:

If the legal entity is a signatory of FATCA, additional statements and documents will be required for the purposes of fulfillment of the FATCA requirements, arising from a mutual agreement.